

Trade-related Services and Charges

Items	Charges	Max/Min Amt. and remarks
Commission	Please contact your broker	Min. Amt. HK\$85.00
Stamp Duty	0.1% of turnover (rounded up to the higher dollar)	Collected on behalf of HK Govt. (Min Amt. HK\$1)
CCASS Fee	0.002% of turnover	Collected on behalf of CCASS (Min Amt. HK\$2, Max Amt HK\$200)
Transaction Levy	0.0027% of turnover	Collected on behalf of SFC
Trading Fee	0.005% of turnover	Collected on behalf of HKEX
Cash Account and Custodian Account Overdue Interest	Chong Hing Bank Prime Rate +10%	Not applicable
Margin Account Lending Rate	Chong Hing Bank Prime Rate +3.5%	Overdue amount within the marginable value
	Chong Hing Bank Prime Rate +10%	Overdue amount beyond the marginable value
Transfer Deed	HK\$5 per transfer deed	Collected by HK Revenue Department
Stock Withdrawal – Physical	HK\$1.5 per board lot HK\$3.5 per board lot Total: HK\$5 per board lot	Collected by HK Clearing Min charge: HK\$20
Transfer Fee	HK\$10 per transaction	Min charge: HK\$200
Dividend Collection and Stock Deposit	0.35% of dividend amount 0.12% charged by HK Clearing Total: 0.47% per transaction HK\$5 per board lot	Min charge: HK\$5 Collected by HK Clearing: 1. Min charge for 1 board lot 2. Charge will be levied for all stock deposits including non-CCASS stocks
Claim for Dividend & Bonus Issue	1% of dividend amount	Min charge: HK\$200 1. admin costs to be levied 2. charge no matter claim is successful 3. deposit fee for bonus issue
Deposit via CCASS (SI or ISI Sock Withdrawal)	0.005% of stock value on the previous trading day Free charge for physical stock transfer to Gransing Securities Co., Ltd.	Min charge: HK\$10, and Handling fee HK\$100 per transaction

Handling Charge for OTC trade	1%	Min charge: HK\$2,000 and no maximum. Charge for large deal, please contact your broker
Retrieving Yearly Account Statement	HK\$200 per transaction	HK\$20 per duplicate copy
Takeover Bid & Rights Issue	Handling fee: HK\$0.80 per board lot Admin fee: HK\$0.80 per board lot Total: HK\$1.6 per board lot	Min charge: HK\$100 Customer needs to pay for both handling fee and admin charger for each transaction (Min charge for one board lot)
Charge for Returned Cheque	HK\$100 per transaction	Not applicable
IPO Application	Cash subscription: HK\$50 Margin subscription: HK\$100	
Dormant Account Fee	HK \$50.00 for every six months (applicable to account without any dealing transaction during the six month & with cash balance less than HK \$1,000 with no securities holding at the period end)	
Custodian Fee	0.5% p.a. of the principal amount of the bond	

Pay-in method for stock settlement

PPS

Please deposit the amount by 7:00 p.m. on T+1; the PPS Merchant Code of Gransing is **9309**; the daily maximum transfer amount is HK\$100,000.

PPS by Phone – Register Bill 18013

PPS by Phone – Pay Bill 18033

24-hour PPS Information Hotline 900 00 222 328

Deposit in Gransing Securities designated accounts in Hong Kong:

Hang Seng Bank Account: 024-388-253908-001

Bank of China Account: 012-709-1-004273-2

HSBC Account: 004-500-258082-001

Please deposit the amount before 5:00 p.m. on the second day after your trade order was executed (T+2) and send the pay-in slip by facsimile to (852) 2544-8439. In order to protect your interest, please write your Gransing account number and your full name on the pay-in slip.

Note: Changes or amendment of the above information might be made at any time without prior notice. If you want to verify the latest fee schedule, please call (852) 3162 6888.